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Research Article

Kinetics and Thermodynamics of Ultrasound-Assisted Depolymerization of κ -Carrageenan

R. Ratnawati*, Aji Prasetyaningrum, Dyah Hesti Wardhani

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Abstract

1. Introduction

Carrageenan is a sulfated galactan extracted from red algae (*Rhodophyceae*), which is abundantly available in Indonesian waters. The basic structure of carrageenan is potassium, sodium, calcium, magnesium, or ammonium sulfate ester of D-galactose polymer

linked in α -1,3 and β -1,4 positions. The structure is depicted in Figure 1.

Carrageenan is utilized in food industries as thickening, gelling, and stabilizing agents [1]. Attempts have been conducted to broaden the utilization of carrageenan, one of which is through depolymerization. The oligomers or the low molecular weight fraction (LMWF) of carrageenan as the result of depolymerization is subjected to biomedical applications. It was reported that the LMWF carrageenan had activity to inhibit tumor growth [2-4]. The LMWF carrageenan was also reported to have antiher-

petic [5], antivirus [6, 7], anticoagulant [6-9], and antioxidant [9-10] activities.

Many methods have been used to depolymerize carrageenan, such as thermal depolymerization [11], acid hydrolysis [12-16], enzymatic hydrolysis [3, 15, 17, 18], irradiation [10, 19], and sonication [20, 21]. Thermal treatment on κ -carrageenan is faster at higher temperatures [11]. However, even at 95 °C, the reaction is much slower compared to acid [12] and enzymatic [18], and it is comparable to sonolytic depolymerization [20]. Chemical treatment often causes excessive depolymerization, consumes extensive time and energy, and involves chemicals which may generate waste. Enzymatic depolymerization, either using specific or non-specific enzymes, is a relatively expensive and complex process [22]. Those facts drive the development of ultrasonic-assisted depolymerization as it is cheap, simple, energy-saving, and suitable for food [23]. The ultrasonication is usually applied to solution or suspension of polysaccharides [22].

When ultrasound is irradiated to a liquid, it will generate pressure wave on the liquid which will further results in the formation and collapse of bubbles. This phenomenon is called cavitation [2]. The rapid collapse of the bubble causes high pressure gradients and high local velocities of liquid layer in its vicinity. This may cause shear force that is capable of breaking the covalent bond of polymers, provided the chains are longer than a certain limiting value [22, 24]. The collapse of the bubble can also produce intense local heating and high pressures with lifetime in the order of 10^{-10} s [25]. The temperature and the pressure can reach as high as 5000 K and 1000 atm, respectively, in the gas phase of the collapsing bubble and above 1000 K in the thin layer of liquid adjacent to the cavity [26]. The hot spot may cause dissociation of water molecules to give hydrogen and hydroxyl radicals [27].

There have been many studies on kinetics of ultrasound-assisted depolymerization. Madras *et al.* [28] studied the kinetics of ultrasound-assisted depolymerization of poly(vinyl acetate)

in chlorobenzene, while Chakraborty *et al.* [29] investigated the kinetics of ultrasonic degradation of polybutadiene and isotactic polypropylene in solution at different temperatures and in different solvents. Other studies on chitosan [30], apple pectin [31], sea cucumber fucoidan [32], linear poly(phthalaldehyde) [33], carboxylic curdlan [34], and carrageenan [20, 21] have also been reported. Lii *et al.* [20] studied the effect of κ -carrageenan concentration on the kinetics of the reaction. They ran the experiment only at 50°C. They utilized the pseudo-first-order model like acid hydrolysis to describe the kinetics of ultrasonic depolymerization reaction. They found that the rate constant depended notably on time and, hence, molecular size. Such time dependence is quite unlike the linearity of acid hydrolysis [12, 13], so they concluded that the pseudo-first-order model was not suitable in describing the degradation kinetics over ultrasonication process. Most recently, Taghizadeh and Abdollahi [21] studied the effect of ultrasonic power and addition of TiO_2 on the kinetics of ultrasonic degradation of κ -carrageenan at 25°C. Instead of using the pseudo-first-order model, they considered the order of reaction along with the rate constant as the adjustable parameters in fitting the kinetic model to the experimental data. They found that the order of the reaction was negative with respect to the molar concentration of the polymer.

The reports on the study of the effect of temperature on the kinetics and thermodynamics of ultrasonic depolymerization of κ -carrageenan are not available in the literature to the moment. Therefore, the aim of this work is to study the effect of temperature on the kinetics and thermodynamics of ultrasound-assisted depolymerization of κ -carrageenan.

2. Materials and Methods

2.1. Materials

The raw material used in this work was κ -carrageenan derived from seaweed *Kappaphycus alvarezii*, which was produced by CV. Karagen Indonesia, Semarang, Indonesia. The carrageenan was dissolved in distilled water to form a 5 g/L solution. The solution was placed in a 40 mm diameter and 120 mm height glass vessel. The mixture was heated to 60°C and stirred for 15 minutes.

2.2. Ultrasound treatment

Two hundred milliliters of sample was brought to specified temperatures (30, 40, 50,

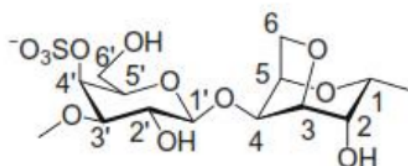


Figure 1. Basic structure of κ -carrageenan [1]

and 60 °C) and put into ultrasound device, Krisbow type KLS 303365, with frequency of 42 kHz. The device was equipped with thermostatic water bath. To minimize error due to uneven power transfer and temperature fluctuation during the ultrasonic process, the sample was placed right above the ultrasound source. The process was run in various times (0, 16, 32, 48, 64, 80, 96, 112, 128, 144 minutes).

2.3. Measurement of viscosity

The viscosity of the κ-carrageenan in aqueous 0.1 M NaCl solution was measured using Brookfield viscometer of RDVE model. The solution was put in the sample container placed in water bath to equilibrate the temperature to $65 \pm 0.1^\circ\text{C}$. Spindle number 2 was then immersed into the sample to the groove of the shaft. The speed of the spindle was set at 60 rpm, then the viscometer was started and the reading was made at constant reading. The measurement was done in triplicate.

2.4. Mathematical treatment for intrinsic viscosity

The intrinsic viscosity $[\eta]$ was calculated from the specific viscosity (η_{sp}) obtained from the Brookfield viscometer readings. The intrinsic viscosity is the average intercept of Huggins and Kraemer equation [35] in Equation (1).

$$\frac{\eta_{sp}}{c} = [\eta] + k_H [\eta]^2 c \quad (1)$$

where η_{sp} , $[\eta]$, k_H , and c are specific and intrinsic viscosity, Huggins constant, and the concentration of the solution, respectively. The specific viscosity (η_{sp}) and the Huggin constant (k_H) are dimensionless, while the intrinsic viscosity ($[\eta]$) and the concentration (c) have the units of mL/g and g/mL, respectively. The value of k_H for κ-carrageenan solution is 0.35 [35].

The molecular weight of κ-carrageenan (M) is related to its viscosity by Mark Houwink equation [35] in Equation (2).

$$[\eta] = k_{MH} M^a \quad (2)$$

where k_{MH} and a are constants for a given system. In this work, the values of k_{MH} and a for κ-carrageenan are 0.00598 and 0.90, respectively. M and $[\eta]$ are expressed in g/mol and mL/g, respectively [20].

2.5. Mathematical treatment for kinetics model

In a depolymerization, all bonds of a polymer chain are equally susceptible to break with the rate proportional to the remaining unbroken bonds following a pseudo-first-order rate relation [36] in Equation (3).

$$-\frac{d(N_0 p)}{dt} = k_{pf} N_0 p \quad (3)$$

where N_0 denotes initial number of monomer in the reaction mixture, p is fraction of monomers bonded in polymers, and k_{pf} is the pseudo-first-order reaction rate constant. The equation can be integrated from $t = 0$ to $t = t$ to yield Equation (4).

$$p = p_0 e^{-k_{pf} t} \quad (4)$$

For short reaction time with only small fraction of bonds is broken, $e^{-k_{pf} t}$ can be approximated by $1 - k_{pf} t$, and Equation (4) becomes Equation (5).

$$p = p_0 (1 - k_{pf} t) \quad (5)$$

Fraction of monomers bonded in polymers, p , can be related to number-average molecular weight of polymer [36] as Equation (6).

$$p = 1 - \frac{m}{M_t} \quad (6)$$

where M_t is the number-average molecular weight of polymer at time t and m is the average molecular weight of monosaccharide unit. Based on the assumption that α ($1 \rightarrow 3$) and β ($1 \rightarrow 4$) glycosidic linkages show similar susceptibility to breakage by ultrasound, the value of m is 192 [11, 20]. In terms of molecular weight of polymer, Equation (6) is simplified to Equation (7).

$$\frac{1}{M_t} = \frac{1}{M_0} + \frac{k_{pf}}{m} t \quad (7)$$

where M_0 is the number-average molecular weight of polymer at time 0. A plot of $1/M_t$ versus t produces a linear relationship from which the rate constant can be deduced from the gradient.

Other model was developed based on mid-point-chain scission mechanism in mechanical degradation, for example, by ultrasonic radiation [37]. The degradation yields two molecules with nearly the same size. The breaking of the covalent bond of polymers continues up to a certain limiting molecular weight [22, 29], i.e. M_{∞} . Using a continuous distribution model, Chakraborty *et al.* [29] derived the kinetics model in Equation (8).

$$\ln\left(\frac{1}{M_{\infty}} - \frac{1}{M_t}\right) = -k_{ms} M_{\infty} t + \ln\left(\frac{1}{M_{\infty}} - \frac{1}{M_0}\right) \quad (8)$$

where k_{ms} is the reaction rate constant for mid-point-chain scission reaction model. A linear line is obtained by plotting $\ln(1/M_{\infty} - 1/M_t)$ versus t where the rate constant can be obtained from the slope.

2

3. Results and Discussion

3.1. Effect of temperature on depolymerization

The variations of the number-average molecular weight of κ -carrageenan with sonication time at various temperatures are depicted in Figure 2. The molecular weight drops rapidly within the first 20 minutes but decreases slowly and at the end of the treatment the molecular weight approaches different limiting values for different temperatures. The results, as depicted in Figure 2, show that at higher temperatures, the molecular weight is lower

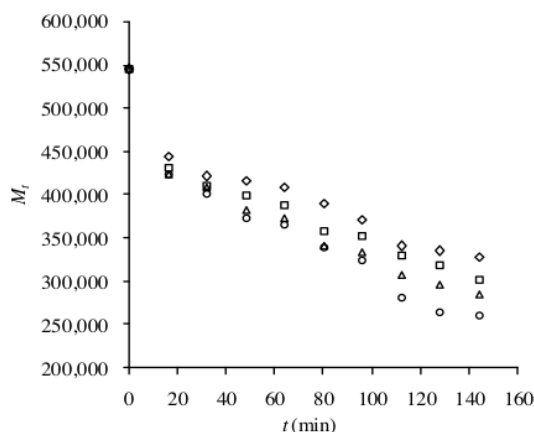


Figure 2. Variation of number-average molecular weight of κ -carrageenan with time of sonication at 30°C (\diamond), 40°C (\square), 50°C (Δ), and 60°C (\circ)

than that at lower temperatures. It means that the extent of depolymerization at higher temperatures is higher as compared to lower temperatures.

The results are contradicted with those obtained by several groups of researcher who found that the extent of reaction decreased with increasing temperatures [29, 37-39]. They explained that the negative effect of temperature could be attributed to cavitation. As the temperature of the solution increases, more solvent vapor enters the cavitation bubble during the expansion and exerts a cushioning effect during the collapse leading to diminishing the intensity of the shock wave. It will reduce the jet velocity, which will further lessen degradation at higher temperatures [31, 37].

However, some other groups of researchers reported similar results to this work where temperature gives positive effect on ultrasound-assisted depolymerization [40-44]. In general, ultrasound-assisted degradation of organic polymers takes place via two mechanism, i.e., homolytic bond breaking and reaction with radicals [45-47]. The homolytic bond breaking reaction is usually caused by the cavitation of micro-bubbles accompanied with extremely high temperature (up to 5000 °C) and pressure (about 5×10^7 Pa) [12], which will lead to the second mechanism, i.e. the generation of H• and OH• radicals through thermal dissociation of water at the bubble/water interface. The main reactions during sonication are described in Equations (9-11) [45].



Both OH• radical and hydrogen peroxide are strong oxidizing agents. Even in a very low concentration, both OH• and H₂O₂ can oxidize organic polymer such as κ -carrageenan [47] causing random degradation [37]. This oxidation reaction, as other chemical reactions, is remarkably accelerated as the temperature rises [48]. The temperature effect can also be related to the viscosity of the reaction mixture. At lower temperature the viscosity of aqueous solution is higher than that of higher temperature. A certain amount of energy is consumed in the process of disaggregation [41]. Therefore, increasing the mixture temperature during sonication will lead to more κ -carrageenan molecules degraded as observed in Figure 2.

3.2. Effect of temperature on reaction kinetics

Two kinetics models (Equations (7) and (8)) are employed in this work to describe the ultrasound-assisted depolymerization of κ -carrageenan. Plot of $\ln 1/M_t$ versus t and $\ln (1/M_\infty - 1/M_t)$ versus t at various temperatures depicted in Figure 3, clearly show excellent linearity. The depolymerization rate constants, the limiting molecular weights, and correlation factors for both models at various temperatures are listed in Table 1.

The results presented in Table 1 show that both models have comparable correlation coefficients, however, the correlation coefficient of the midpoint-chain scission model is slightly larger than that of pseudo-first order model. The percent of average absolute deviation (%AAD) of both models are also calculated. It is

defined as Equation (12).

$$\%AAD = \left| \frac{Y_{exp} - Y_{calc}}{Y_{exp}} \right| \times 100\% \quad (12)$$

where Y is the left-hand side of Equation (7) and Equation (8) for pseudo-first-order model and midpoint-chain scission model, respectively. Subscript *exp* and *calc* refer to experimental and calculated values, respectively. It is clear from Table 1 that the overall %AAD of midpoint-chain scission model is much smaller than that of pseudo-first-order model. It indicates that midpoint-chain scission model is more suitable for describing ultrasound-assisted depolymerization. It means that sonication leads to midpoint-chain scission of κ -carrageenan molecules.

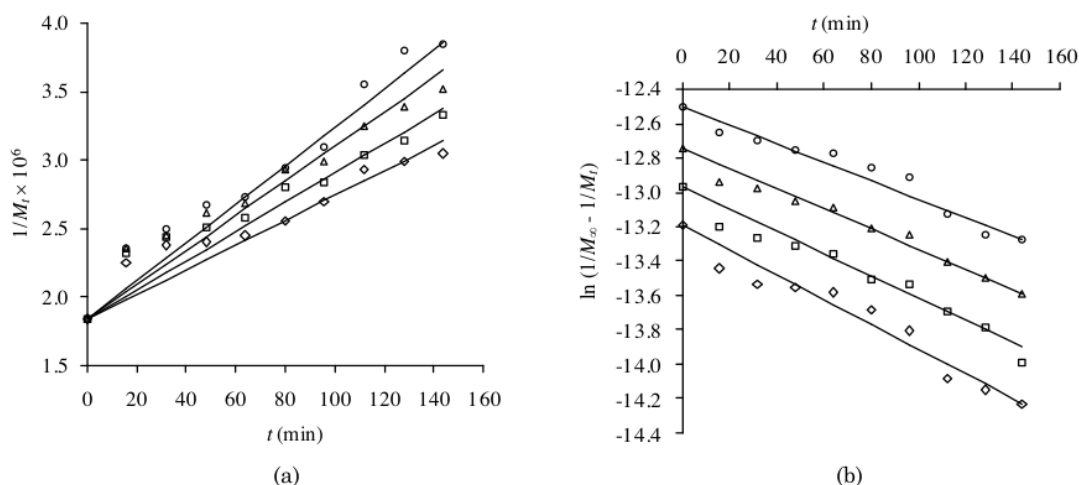


Figure 3. Time dependencies of (a) $1/M_t$ according to pseudo-first-order model and (b) $\ln (1/M_\infty - 1/M_t)$ according to midpoint-chain scission model for κ -carrageenan at 30°C (◇), 40°C (□), 50°C (Δ), and 60°C (○)

Table 1. Kinetics parameters for pseudo-first-order and midpoint-chain scission models

Temperature (°C)	Pseudo-first-order model			Midpoint-chain scission model			
	k_{pf} (min ⁻¹)	R^2	%AAD	k_{ms} (mol g ⁻¹ min ⁻¹)	M_∞	R^2	%AAD
30	1.74×10^{-6}	0.94	3.59	2.11×10^{-8}	240,000	0.95	0.33
40	2.05×10^{-6}	0.95	4.12	2.24×10^{-8}	210,000	0.97	0.25
50	2.42×10^{-6}	0.97	4.09	2.47×10^{-8}	190,000	0.98	0.19
60	2.69×10^{-6}	0.97	3.95	2.63×10^{-8}	160,000	0.96	0.26
Overall		0.96	3.94			0.97	0.26

As presented in Table 1, the limiting molecular weight (M_∞) decreases as temperature increases. It is shown in Figure 4 that the limiting molecular weight is a linear function of temperature, and it can be presented by Equation (13).

$$M_\infty = -2600T + 10^6 \quad (13)$$

with a correlation coefficient of 0.99. As temperature increases, the oxidation reaction by hydroxyl radical which leads to random scission of κ -carrageenan increases as well. It means that at higher temperature more κ -carrageenan are degraded, hence the final molecular weight will be smaller.

The rate constant for midpoint-chain scission model, k_{ms} , is slightly influenced by temperature. It rises from 2.11×10^{-8} to $2.63 \times 10^{-8} \text{ mol g}^{-1} \text{ min}^{-1}$ as the temperature rises from 30 to 60 °C, or in average it only increases 1.1 fold for a temperature increment of 10 °C. The effect of temperature on the rate constant of ultrasound-assisted depolymerization is much lower than that of thermal depolymerization and acid hydrolysis. Singh and Jacobson [12] who degraded κ -carrageenan by acid hydrolysis in a LiCl/HCl pH 2 buffer solution found that the rate constant increased 3.8 fold for every 10°C of temperature increment, while Lai *et al.* [11] found 2.3 fold for thermal depolymerization of κ -carrageenan. The smaller effect of temperature on sonicated depolymerization compared to acid and thermal depolymerizations is mostly caused by the effect of cavitation

as explained above.

The temperature dependence of the k_{ms} is given by the Arrhenius law as presented in Equations (14-15).

$$k_{ms} = Ae^{-E_a/RT} \quad (14)$$

or

$$\ln k_{ms} = \ln A - \frac{E_a}{RT} \quad (15)$$

where A and E_a are pre-exponential factor and activation energy, respectively. Plot of $\ln k_{ms}$ versus $1/T$ will result in a linear line, as depicted in Figure 5, with correlation coefficient of 0.99. The constants, A and E_a , can be obtained from the intercept and slope, which are $2.68 \times 10^{-7} \text{ mol g}^{-1} \text{ min}^{-1}$ and 6.43 kJ mol^{-1} , respectively.

Most of the reactions have E_a value ranging from 40 to 400 kJ mol^{-1} . If the value is less than 40 kJ mol^{-1} , the reaction will complete very rapidly [44]. The activation energy obtained in this work is 6.43 kJ mol^{-1} , while the activation energy for thermal degradation of κ -carrageenan obtained by Lai *et al.* is 99.6 kJ mol^{-1} [11]. The smaller value of activation energy for ultrasound-assisted depolymerization shows that ultrasound remarkably decreases the energy barrier required for the reaction [44]. The great reduction in E_a value by ultrasonic treatment indicates that the ultrasound-assisted reaction occur very easily. However, the work of Lai *et al.* [11] had revealed that the pre-exponential factor of the

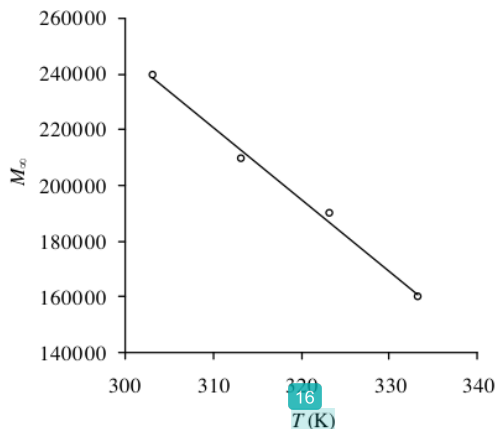


Figure 4. Effect of temperature on the limiting molecular weight during ultrasound-assisted degradation κ -carrageenan

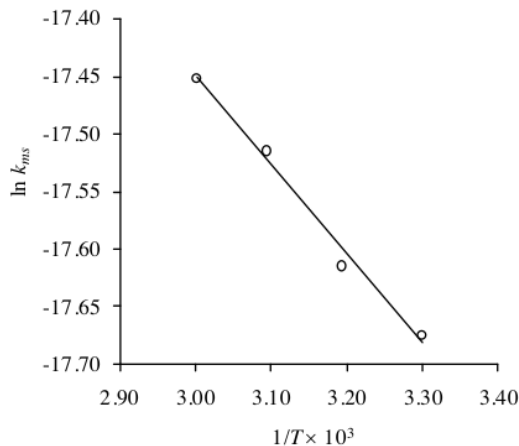


Figure 5. Arrhenius plot of temperature dependence of the rate coefficient k_{ms}

Arrhenius correlation was $4.25 \times 10^9 \text{ min}^{-1}$ which is much higher than that of this work. It must have implication to the reaction rate.

To compare the performance of thermal and ultrasound-assisted depolymerization, the half life of κ -carrageenan undergoing both processes are calculated. Suppose that we have κ -carrageenan with initial molecular weight of 544,980 as used in this work that undergoes two different processes, i.e. thermal and ultrasound-assisted depolymerizations, at various temperatures. The half life ($t_{1/2}$) of κ -carrageenan undergoing thermal degradation is calculated using Equation (7) with A and E_a are $9.47 \times 10^9 \text{ min}^{-1}$ and 99.6 kJ mol^{-1} , respectively, while that for ultrasound-assisted degradation is calculated using Equation (8) with A and E_a are $2.68 \times 10^{-7} \text{ mol g}^{-1} \text{ min}^{-1}$ and 6.43 kJ mol^{-1} , respectively. The results are presented in Figure 6. At lower temperatures ($< 72.2^\circ\text{C}$), the half life of κ -carrageenan undergoing ultrasound-assisted depolymerization is smaller than that of thermal depolymerization. It means that ultrasound-assisted depolymerization is faster than thermal depolymerization at lower temperatures.

3.3. Effect of temperature and ultrasound treatment on the thermodynamic parameters

According to transition state theory, the rate constant is related to the changes in enthalpy of activation (ΔH^\ddagger) and entropy of activation (ΔS^\ddagger) as presented by the Eyring equation [49].

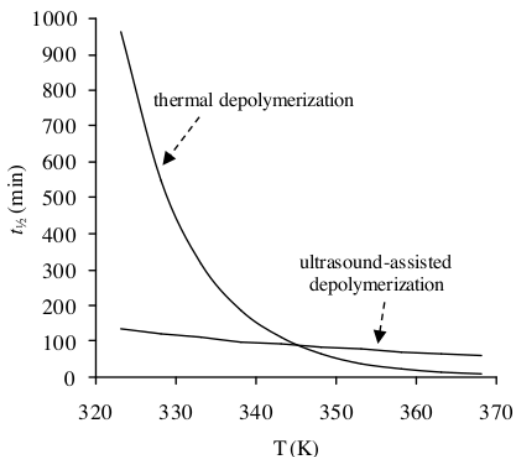


Figure 6. Half life of κ -carrageenan undergoing ultrasound-assisted depolymerization (this work) and thermal depolymerization [22]

$$k_{ms} = \frac{k_B T}{h} e^{\Delta S^\ddagger/R} e^{\Delta H^\ddagger/RT} \quad (16)$$

where k_B is the Boltzman constant ($1.3806 \times 10^{-23} \text{ J K}^{-1}$), and h is the Planck constant ($6.6207 \times 10^{-34} \text{ J.s}$). The Equation (16) can be written as in Equation (17).

$$\ln \frac{k_{ms}}{T} = -\frac{\Delta H^\ddagger}{RT} + \ln \frac{k_B}{h} + \frac{\Delta S^\ddagger}{R} \quad (17)$$

A plot of $\ln (k_{ms}/T)$ versus $1/T$ will result in a linear line with correlation coefficient of 0.97 as depicted in Figure 7.

From the slope and the intercept of the line, enthalpy of activation (ΔH^\ddagger) and entropy of activation (ΔS^\ddagger) can be deduced. The values of ΔH^\ddagger and ΔS^\ddagger for ultrasound-assisted depolymerization are 3.79 kJ mol^{-1} and $-413.5 \text{ J mol}^{-1} \text{ K}^{-1}$, respectively. For thermal degradation, ΔH^\ddagger and ΔS^\ddagger , as calculated from the results of Lai *et al.* [11], are $96.41 \text{ kJ mol}^{-1}$ and $-132.6 \text{ J mol}^{-1} \text{ K}^{-1}$, respectively. The results for both reactions are presented in Table 2.

The enthalpy of activation (ΔH^\ddagger) is the difference between the enthalpy of the transition state and the sum of the enthalpies of the reactants in the ground state. A large value of enthalpy of activation indicates that a large amount of energy to stretch, squeeze or even break the chemical bonds is needed for the formation of the transition state. The value of

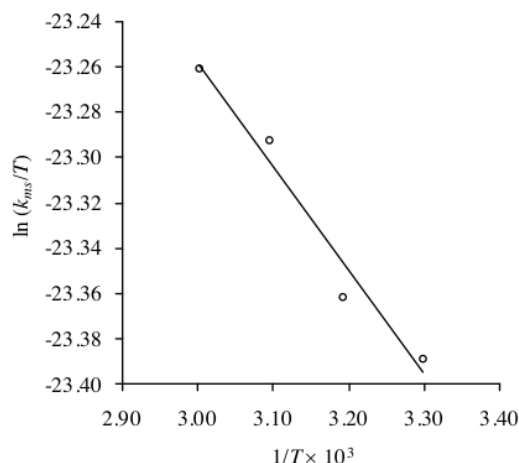


Figure 7. Plot of $\ln (k_{ms}/T)$ versus $1/T$ for Eyring equation

ΔH^\ddagger of the ultrasound-assisted depolymerization is 96.1% lower than that of thermal process. The decrease of ΔH^\ddagger accompanying the sonication can be explained by a decrease of the energy barrier of the reaction. Sonication may induce cavitation effect which creates enormous shear forces that can break covalent bond [25, 43]. It means that sonication can make the reactants reach transition state with less energy, which is translated to the decrease of ΔH^\ddagger [50].

The value of ΔS^\ddagger of the ultrasound-assisted depolymerization is lowered by 211.8% compared to that of thermal process. Large and negative value of ΔS^\ddagger indicates that the formation of the transition state requires the κ -carrageenan molecules to adopt precise conformations relative to the shear force around the collapsing bubbles [51]. The decrease in ΔS^\ddagger is also an indication of more orderly distributed κ -carrageenan molecules after ultrasound irradiation [50]. Smaller ΔS^\ddagger confirms the smaller value of the pre-exponential factor of the Arrhenius equation, A , for the ultrasonic depolymerization, as the pre-exponential factor is proportional to $\exp(\Delta S^\ddagger/R)$ [52].

The values of ΔG^\ddagger is calculated using the fundamental equation [49] in Equation (18).

$$\Delta G^\ddagger = \Delta H^\ddagger - T \Delta S^\ddagger \quad (18)$$

The values of ΔG^\ddagger of thermal and ultrasound-assisted depolymerizations, as presented in Table 2, slightly increase as the temperature increases. It is a consequence of the form of equation (18). The value of ΔG^\ddagger of the ultrasound-assisted depolymerization is 2.4% in average lower than that of thermal process at 30°C. The difference becomes smaller as the temperature increases. The same trend was also found by Jin *et al.* [50]. These decreases in E_a , ΔH^\ddagger , ΔS^\ddagger ,

and ΔG^\ddagger can be attributed to the ultrasonically induced breakage of non-covalent bonds in κ -carrageenan molecules and to the oxidative reaction of κ -carrageenan by hydroxyl radical [42, 50].

4. Conclusions

The ultrasonic depolymerization of κ -carrageenan has been investigated. The molecular weight decreases rapidly within the first 20 minutes then slowly approaches different limiting molecular weight for different temperatures. The extent of depolymerization is higher at higher temperatures.

Correlated using the mid-scission model with average coefficient of correlation of 0.97, the rate constant only increases 1.1 fold for a temperature increment of 10°C. The activation energy, E_a , and pre-exponential factor, A , for the reaction are 6.43 kJ mol⁻¹ and 2.68×10^{-7} mol g⁻¹ min⁻¹, respectively. When compared to thermal degradation, the half life ($t_{1/2}$), of ultrasound-assisted depolymerization at lower temperatures (< 72.2 °C) is smaller. It means that ultrasound-assisted depolymerization is faster than thermal depolymerization at lower temperatures.

Thermodynamic review of the reaction shows that the ΔH^\ddagger , ΔS^\ddagger , and ΔG^\ddagger of the ultrasound-assisted depolymerization are lower than those of thermal process. Compared to thermal process, the, and of the ultrasound-assisted depolymerization are lower by 96.1%, 211.8%, and 2.4%, respectively. These decreases are related to the ultrasonically induced breakage of non-covalent bonds in κ -carrageenan molecules.

Acknowledgments

The authors acknowledge the financial support from the Directorate General of

Table 2. Thermodynamic parameters for ultrasound-assisted and thermal depolymerization

	Thermal depolymerization [11]	Ultrasound-assisted depolymerization (this work)
ΔH^\ddagger (kJ mol ⁻¹)	96.41	3.79
ΔS^\ddagger (J mol ⁻¹ K ⁻¹)	-132.6	-413.5
ΔG^\ddagger (kJ mol ⁻¹)		
at 30°C	136.61	129.15
at 40°C	137.94	133.29
at 50°C	139.26	137.42
at 60°C	140.59	141.56

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